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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

July 5, 2001

In re application of : PAYTON, Kevin L.  
Serial No. : 09/833,898  
Filed : April 12, 2001  
For : **SKELETAL TRANSMUCOSAL  
ORTHODONTIC PLATE**  
Examiner : N/A  
Art Unit : 3732  
Our File No. : 10283.3801

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**INFORMATION DISCLOSURE STATEMENT**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Applicant wishes to call the Examiner's attention to the references listed on Form PTO-1449 in accordance with 37 C.F.R. §1.56 and 37 C.F.R. §§1.97 and 1.98 (see attached).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists (37 C.F.R. §§1.97(g) and 1.97(h)).

The filing of this information disclosure statement shall not be considered as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).

Any additional fees, including Extension of Time, please bill our Deposit Account No. 13-1130.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "D. S. Polley", is written over a horizontal line.

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### CERTIFICATE OF MAILING

I HEREBY CERTIFY that the following correspondence: INFORMATION DISCLOSURE STATEMENT; PRIOR ART PATENTS; FORM PTO-1449; AND A RETURN POSTCARD FOR CONFIRMATION OF RECEIPT is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on **this 5th day of JULY, 2001.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.

  
PJ BROWN

Date: JULY 5, 2001

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